

2171

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: CA1073

Koki UCHIYAMA

Appln. No.: 09/870,581

Group Art Unit: 2171

Confirmation No.: 9236

Filed: May 30, 2001

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**Technology Center 2100**

For: **DISTRIBUTED MONITORING SYSTEM PROVIDING KNOWLEDGE SERVICES**

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

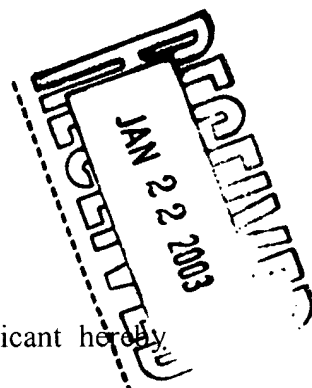
Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a



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request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Frank L. Bernstein  
Registration No. 31,484

SUGHRUE MION, PLLC  
Telephone: (650) 325-5800  
Facsimile: (650) 325-6606

MENLO PARK OFFICE



**23493**

PATENT TRADEMARK OFFICE


Date: January 13, 2002

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Date: January 13, 2003

Signed:   
Thea K. Wagner

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Arlington, VA 22202

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

A handwritten signature of Frank L. Bernstein.

Frank L. Bernstein  
Registration No. 31,484

SUGHRUE MION, PLLC  
Telephone: (650) 325-5800  
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Signed:

A handwritten signature of Thea K. Wagner.  
Thea K. Wagner